

STATEMENT OF ECONOMIC INTERESTS

A Public Document

Date Received

Please type or print in ink

NAME Phillip Pennino  
MAILING ADDRESS 1502 Keagle Way STREET Losi CITY 95242 ZIP CODE (209) 942-1730 DAYTIME TELEPHONE NUMBER

COVER PAGE

1. Office, Agency, or Court

Division, Board, District, if applicable:

Position:

► If filing an expanded statement list agency/position:  
(Attach a separate sheet if necessary)

2. Office Jurisdiction (Check one)

- ☐ State ☐ County of \_\_\_\_\_  
☒ City of Losi  
☐ Multi-County \_\_\_\_\_  
☐ Other \_\_\_\_\_

3. Type of Statement (Check at least one box)

- ☐ Assuming Office/Initial Date: \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Check one)  
☐ Elected Official  
☐ Appointed Official (includes individuals newly hired)  
☐ Annual  
(Check one)  
☐ The period covered is January 1, 1997 through December 31, 1997.  
☐ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_ through December 31, 1997.  
☐ Leaving Office Date Left: \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Check one)  
☐ The period covered is January 1, 1997 through the date of leaving office.  
☐ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_ through the date of leaving office.

☒ Candidate

4. Schedule Summary

► During the reporting period, did you have any reportable interests to disclose on:

Schedule A-1 ☒ Yes - schedule attached  
Investments (Less than 10% Ownership)

Schedule A-2 ☐ Yes - schedule attached  
Investments (Greater than 10% Ownership)

Schedule B ☐ Yes - schedule attached  
Real Property

Schedule C ☒ Yes - schedule attached  
Income & Business Positions (Income Other than Loans, Gifts, and Travel)

Schedule D ☒ Yes - schedule attached  
Income - Loans

Schedule E ☐ Yes - schedule attached  
Income - Gifts

Schedule F ☐ Yes - schedule attached  
Income - Travel Payments

► ☐ No reportable interests

► Total number of pages (including this cover page): 4

5. Verification

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on August 2 19 98  
(month, day) (year)

SIGNATURE

Phillip Pennino

Orig. mailed to FPPC on 8/3/98

## Investments

## Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

**CALIFORNIA**  
**1997/98 FORM 700**  
 FAIR POLITICAL PRACTICES COMM.

Name

Phillip Pennino

NAME OF BUSINESS ENTITY  
Bank of Cooi

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
BANKING

FAIR MARKET VALUE  
☒ \$1,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ Over \$100,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/97      \_\_\_\_/\_\_\_\_/97  
 ACQUIRED                  DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$1,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ Over \$100,000

NATURE OF INVESTMENT  
☐ Stock  
☐ Other \_\_\_\_\_

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/97      \_\_\_\_/\_\_\_\_/97  
 ACQUIRED                  DISPOSED

NAME OF BUSINESS ENTITY  
Pacific Gas + Electric

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
UTILITY - GAS + Electric

FAIR MARKET VALUE  
☐ \$1,000 - \$10,000  
☒ \$10,001 - \$100,000  
☐ Over \$100,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/97      \_\_\_\_/\_\_\_\_/97  
 ACQUIRED                  DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$1,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ Over \$100,000

NATURE OF INVESTMENT  
☐ Stock  
☐ Other \_\_\_\_\_

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/97      \_\_\_\_/\_\_\_\_/97  
 ACQUIRED                  DISPOSED

NAME OF BUSINESS ENTITY  
Pacific Gas + Electric

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
401K - VARIOUS

FAIR MARKET VALUE  
☐ \$1,000 - \$10,000  
☐ \$10,001 - \$100,000  
☒ Over \$100,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/97      \_\_\_\_/\_\_\_\_/97  
 ACQUIRED                  DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$1,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ Over \$100,000

NATURE OF INVESTMENT  
☐ Stock  
☐ Other \_\_\_\_\_

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/97      \_\_\_\_/\_\_\_\_/97  
 ACQUIRED                  DISPOSED

Comments:

Name \_\_\_\_\_

Penning Phillip

NAME OF SOURCE  
PG+E

ADDRESS  
4040 West Lane - Stockton

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Gas + Electric Utility

BUSINESS POSITION  
Major Account Rep.

GROSS INCOME RECEIVED  
☐ \$250 - \$1,000    ☐ \$1,001 - \$10,000    ☒ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
☒ Salary    ☐ Spouse's income    ☐ Loan repayment  
☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)  
☐ Commission or    ☐ Rental Income, list each source of \$10,000 or more  
\_\_\_\_\_  
\_\_\_\_\_  
☐ Other \_\_\_\_\_

NAME OF SOURCE  
Lodi, City of

ADDRESS  
221 W. Pine Street

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Government

BUSINESS POSITION  
Council member

GROSS INCOME RECEIVED

☐ \$250 - \$1,000 ☒ \$1,001 - \$10,000 ☐ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☒ Salary ☐ Spouse's income ☐ Loan repayment

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

☐ Other

NAME OF SOURCE  
Katzakian Williams + Sherman

ADDRESS  
777 S Ham Lane Lodi

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Real Estate

BUSINESS POSITION  
Agent

GROSS INCOME RECEIVED

☐ \$250 - \$1,000    ☐ \$1,001 - \$10,000    ☐ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary    ☒ Spouse's income    ☐ Loan repayment

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☒ Commission or    ☐ Rental Income, list each source of \$10,000 or more

\_\_\_\_\_

\_\_\_\_\_

☐ Other \_\_\_\_\_

➤ NAME OF SOURCE \_\_\_\_\_

ADDRESS \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF SOURCE \_\_\_\_\_

BUSINESS POSITION \_\_\_\_\_

GROSS INCOME RECEIVED

☐ \$250 - \$1,000      ☐ \$1,001 - \$10,000      ☐ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary      ☐ Spouse's income      ☐ Loan repayment

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☐ Commission or      ☐ Rental income, list each source of \$10,000 or more \_\_\_\_\_

☐ Other \_\_\_\_\_

Comments: \_\_\_\_\_

## Schedule D

## Income – Loans

(Received or Outstanding)

**CALIFORNIA 1997/98 FORM 700**  
**FAIR POLITICAL PRACTICES COMM.**

Name Phillip Pennino

NAME OF LENDER  
Pacific Service Employees Assoc.  
ADDRESS  
77 Beale St. San Francisco

BUSINESS ACTIVITY OF LENDER  
☒ Financial Institution  
☐ Other \_\_\_\_\_

INTEREST RATE  
7.5 % ☐ None

TERM (Months/Years)  
60 month

HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$250 - \$1,000 ☒ \$1,001 - \$10,000 ☐ Over \$10,000

SECURITY FOR LOAN  
☐ None ☒ Automobile ☐ Personal residence  
☐ Real Property \_\_\_\_\_  
Street address  
\_\_\_\_\_  
City  
\_\_\_\_\_  
☐ Guarantor \_\_\_\_\_  
☐ Other \_\_\_\_\_

➤ NAME OF LENDER \_\_\_\_\_

ADDRESS \_\_\_\_\_

\_\_\_\_\_

BUSINESS ACTIVITY OF LENDER

☐ Financial Institution

☐ Other \_\_\_\_\_

INTEREST RATE \_\_\_\_\_ TERM (Months/Years) \_\_\_\_\_

\_\_\_\_\_ % ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

SECURITY FOR LOAN

☐ None ☐ Automobile ☐ Personal residence

☐ Real Property \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

☐ Guarantor \_\_\_\_\_

☐ Other \_\_\_\_\_

➤ NAME OF LENDER \_\_\_\_\_

ADDRESS \_\_\_\_\_

BUSINESS ACTIVITY OF LENDER

☐ Financial Institution

☐ Other \_\_\_\_\_

INTEREST RATE \_\_\_\_\_ % ☐ None

TERM (Months/Years) \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

SECURITY FOR LOAN

☐ None ☐ Automobile ☐ Personal residence

☐ Real Property \_\_\_\_\_

Street address

City

☐ Guarantor \_\_\_\_\_

☐ Other \_\_\_\_\_

NAME OF LENDER \_\_\_\_\_

ADDRESS \_\_\_\_\_

BUSINESS ACTIVITY OF LENDER

☐ Financial Institution

☐ Other \_\_\_\_\_

INTEREST RATE \_\_\_\_\_ TERM (Months/Years) \_\_\_\_\_

\_\_\_\_\_ % ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

SECURITY FOR LOAN

☐ None ☐ Automobile ☐ Personal residence

☐ Real Property \_\_\_\_\_

\_\_\_\_\_ Street address

\_\_\_\_\_ City

☐ Guarantor \_\_\_\_\_

☐ Other \_\_\_\_\_

Comments: